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Patent

Atty Docket No. 152934-0019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Lawrence R. FINCHAM

Serial No.: 10/074,604

Filed: February 11, 2002

For: SOUND SYSTEM AND METHOD
OF SOUND REPRODUCTION

Group Art Unit: 2644

Examiner: Not yet assigned

TRANSMITTAL LETTER

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

Sir:

I. DOCUMENTS ENCLOSED:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION UNDER 37 CFR § 1.53(f), which was mailed by the Patent Office on March 6, 2002, enclosed are:

- ☒ Declaration.
- ☒ Power of Attorney.
- ☒ Assignment of the invention to Lucasfilm Ltd. (together with PTO Form 1595).
- ☒ A copy of the Notice to File Missing Parts of Application under 37 CFR § 1.53(f).
- ☒ Also enclosed: Formal Drawings Prior to Notice of Allowance is submitted herewith.

CERTIFICATE OF MAILING (37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 6, 2002
Date of Deposit

Connie Kwon
Name of Person Mailing Paper
Connie Kwon
Signature of Person Mailing Paper

II. REQUEST FOR EXTENSION OF TIME:

The proceedings herein are for a patent application and the provisions of 37 CFR § 1.136 apply.

Applicant(s) petitions for an extension of time under 37 CFR § 1.136 [fees: 37 CFR § 1.17(a)(1)-(5)] for the total number of months checked below:

EXTENSION (months)	FEE FOR SMALL ENTITY	FEE FOR OTHER THAN SMALL ENTITY
<input type="checkbox"/> one month	\$55.00	\$110.00
<input type="checkbox"/> two months	\$200.00	\$400.00
<input type="checkbox"/> three months	\$460.00	\$920.00
<input type="checkbox"/> four months	\$720.00	\$1,440.00
<input type="checkbox"/> five months	\$980.00	\$1,960.00
Fee		\$0.00

- ☒ No extension fee is required. If any extension fee is required, please consider this a petition therefor.

III. FILING FEES (including claims in the Preliminary Amendment)

- ☐ Applicant claims small entity status under 37 CFR 1.27.

BASIC FILING FEE:						\$740.00
Total Claims	58	-	20	=	38	x \$18.00 = \$684.00
Independent Claims	6	-	3	=	3	x \$84.00 = \$252.00
Multiple Dependent Claims	\$280	(if applicable)			<input type="checkbox"/>	\$0.00
Surcharge 37 CFR § 1.16(e)	\$130	(if applicable)			<input checked="" type="checkbox"/>	\$130.00
TOTAL OF ABOVE CALCULATIONS						\$1,806.00
Reduction by ½ for Filing by Small Entity. Note 37 CFR §§ 1.9, 1.27, 1.28. If applicable, Verified Statement must be attached.						<input type="checkbox"/> \$1,806.00
Extension of Time (from above)						\$0.00
Assignment -- \$40 (if applicable)						<input checked="" type="checkbox"/> \$40.00
TOTAL FEES SUBMITTED HERewith						\$1,846.00

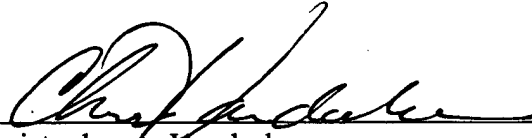
IV. METHOD OF PAYMENT OF FEES:

- ☒ A check in the amount of \$1,846.00 is enclosed to cover the above fee(s).
- ☐ Charge Deposit Account No. **09-0946** in the amount of _____.

☒ The Commissioner is authorized to charge Irell & Manella's Deposit Account No. **09-0946** for any fees required under 37 CFR §§ 1.16, 1.17 and 1.445 that are not covered, in whole or in part, by a check enclosed herewith and to credit any overpayments to said Deposit Account No. **09-0946**.

Respectfully Submitted,

Dated: May 6, 2002


Christopher A. Vanderlaan
Registration No. 37,747

IRELL & MANELLA LLP
1800 Avenue of the Stars, Suite 900
Los Angeles, CA 90067
Telephone: (310) 277-1010
Facsimile: (310) 203-7199

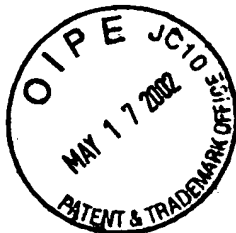


UNITED STATES PATENT AND TRADEMARK OFFICE

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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/074,604	02/11/2002	Lawrence R. Fincham	152934-0019

29000
IRELL & MANELLA LLP
1800 AVENUE OF THE STARS
SUITE 900
LOS ANGELES, CA 90067



CONFIRMATION NO. 4826

FORMALITIES LETTER



OC000000007592208

Date Mailed: 03/06/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

05/20/2002 BABRAHAI 00000083 10074604

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	684.00 OP
04 FC:102	252.00 OP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$936.
 - \$684 for 38 total claims over 20.
 - \$252 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1806.

*A copy of this notice **MUST** be returned with the reply.*

M-HAILE

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE